

RELATED PARTY DECLARATION AND LEGAL ENTITY BENEFICIAL OWNER DECLARATION

This Declaration is completed pursuant to Art. 44 and Art. 45 of the Law on Credit Institutions for the purpose of identifying large exposures to a client or a group of connected clients and determination and management of credit risk, as well as identifying the beneficial owners within the meaning of Art. 59, para. 1, item 3 of the Law on Measures against Money Laundering (LMML) and § 2 of the Additional Provisions to the LMML.

I/We, the undersigned:

| 1. Full names | | |
|---|---|-----------|
| | | |
| National ID № (EGN)/ Other ID № | Date, place and country of birth | |
| | | |
| Type and number of identity document | Issued on | Issued by |
| | | |
| Citizenship/s (please list all citizenships held) | | |
| | | |
| Country of residence, if different from the Republic of Bulgaria, or country of citizenship | Tax Identification Number (TIN)/Country of TIN issuance | |
| | | |
| Permanent address or other address (for foreign citizens without permanent address) - state, town, district, municipality, residential area, str., №, Ent., Floor, Apt. | | |
| | | |
| in my capacity as: | <input type="checkbox"/> legal representative <input type="checkbox"/> proxy under power of attorney № _____, dated _____, issued by public notary | |

| 2. Full names | | |
|---|---|-----------|
| | | |
| National ID № (EGN)/Other ID № | Date, place and country of birth | |
| | | |
| Type and number of identity document | Issued on | Issued by |
| | | |
| Citizenship/s (please list all citizenships held) | | |
| | | |
| Country of residence, if different from the Republic of Bulgaria, or country of citizenship | Tax Identification Number (TIN)/Country of TIN issuance | |
| | | |
| Permanent address or other address (for foreign citizens without permanent address) - state, town, district, municipality, residential area, str., №, Ent., Floor, Apt. | | |
| | | |
| in my capacity as: | <input type="checkbox"/> legal representative <input type="checkbox"/> proxy under power of attorney № _____, dated _____, issued by public notary | |

I/WE HEREBY DECLARE THAT

| | |
|--|---|
| (indicate the name and the legal form of the legal person or type of legal entity) | |
| UIC/BULSTAT/Reg. №/other identification number in the relevant register | registered in the Commercial/other register at: |
| | |

hereinafter referred to as the **Company**:

I. The Company is connected by means of direct or indirect control* with the following persons:

* The Control as per meaning of the Regulation (EU) No 575/2013 of the European Parliament and of the Council of 26 June 2013 shall mean the relationship between a parent undertaking and a consolidated subsidiary, or a similar relationship between any natural or legal person and an undertaking. This definition of "control" shall be applied only to Section I of the present declaration.

1. Members of the management or control body** - individuals and/or legal entities:

(in the absence of sufficient space, please attach an additional list)

| Name of legal entity/individual | UIC/EGN/National ID No | Participates as: |
|---------------------------------|------------------------|------------------|
| | | |
| | | |
| | | |

****Control body** is the Supervisory Board, and in a one-tier management system - the Board of Directors.

2. Entities, in the management or control body of which members (individuals or legal entities) of the management or control body of the Declaring Company participate:

| Name of legal entity | UIC | Grounds: |
|----------------------|-----|----------|
| | | |
| | | |
| | | |

3. The Declaring Company participates in the management or control body (MB, SB, BD) of the following companies or their subsidiaries:

| Name of legal entity | UIC | Participates as: |
|----------------------|-----|------------------|
| | | |
| | | |
| | | |

4. Persons holding more than 10% of the shares or voting rights in the Declaring Company:

| Name of legal entity/individual | UIC/EGN/National ID No | Holdings, % |
|---------------------------------|------------------------|-------------|
| | | |
| | | |
| | | |

5. Entities in which the persons under item 4 hold more than 10% of the shares or voting rights:

| Name of legal entity/individual | UIC/EGN/National ID No | Holdings, % |
|---------------------------------|------------------------|-------------|
| | | |
| | | |
| | | |

6. Entities in which the Declaring Company holds more than 10% of the shares or voting rights:

| Name of legal entity/individual | UIC/EGN/National ID No | Holdings, % |
|---------------------------------|------------------------|-------------|
| | | |
| | | |
| | | |

7. Entities which are included in the consolidated financial statements of the Declaring Company:

| Name of legal entity | UIC |
|----------------------|-----|
| | |
| | |
| | |

8. The Declaring Company controls as a shareholder or partner, including by virtue of a contractual relationship with other partners or shareholders in the same entity, more than half of the voting rights of shareholders or partners in the following entities:

| Name of legal entity | UIC | Grounds: |
|----------------------|-----|----------|
| | | |
| | | |
| | | |

9. The Declaring Company has the right as a shareholder or partner, including by virtue of a contractual relationship with other partners or shareholders in the same entity, to appoint or release the majority of members of the managing or supervisory body (MB, SB, BD) in:

| Name of legal entity | UIC | Grounds: |
|----------------------|-----|----------|
| | | |
| | | |
| | | |

10. The Declaring Company may exercise decisive / dominating influence, including by virtue of an existing contract, articles of association, statutes or in another manner on the operational decision-making of:

| Name of legal entity | UIC | Manner: |
|----------------------|-----|---------|
| | | |
| | | |
| | | |

11. The Declaring Company has decision-making power regarding the strategy or operational management of:

| Name of legal entity | UIC | Manner: |
|----------------------|-----|---------|
| | | |
| | | |
| | | |

12. The Declaring Company has decision-making power regarding key transactions, such as transfer of profit or loss, of:

| Name of legal entity | UIC | Manner: |
|----------------------|-----|---------|
| | | |
| | | |
| | | |

13. Legal entities which, together with the Declaring Company, are controlled by a third party or by its subsidiary:

| Name of legal entity | UIC | Third party: |
|----------------------|-----|--------------|
| | | |
| | | |
| | | |

14. Individuals or legal entities with whom the Declaring Company is jointly in control of a third legal entity or of its subsidiary:

| Name of legal entity/individual | EGN/National ID No/UIC | Third legal entity: |
|---------------------------------|------------------------|---------------------|
| | | |
| | | |
| | | |

II. The person(s) representing the Company hereby declare(s):

Name of the Declarant.....

- | | | |
|---|------------|-----------|
| 1. I am an Administrator* of First Investment Bank AD | YES | NO |
| | | |
| 2. I own directly or indirectly a qualifying holding in the capital of First Investment Bank AD, or shares that provide me with more than 10 percent of the total number of votes at the General Meeting of Shareholders of the Bank | YES | NO |
| | | |
| 3. I am a spouse**, sibling or relative in the direct line up to the third degree*** of an administrator of First Investment Bank AD, or of a person who directly or indirectly holds a qualifying holding in the capital of the Bank or shares that provide him/her with more than 10 percent of the total number of votes at the General Meeting of Shareholders of the Bank | YES | NO |
| | | |

| Full names | EGN/National ID No | Type of person indicated under item 3 (administrator / shareholder) |
|------------|--------------------|---|
| | | |
| | | |
| | | |
| | | |

- | | | |
|---|------------|-----------|
| 4. I am a member of the management or supervisory body of an entity which a person under items 1-3 above controls directly or indirectly, including jointly with other persons | YES | NO |
| | | |

| Full names | EGN/National ID No | Capacity of the person indicated under item 4 (administrator/shareholder/person related to an administrator or shareholder of the Bank) |
|------------|--------------------|---|
| | | |
| | | |
| | | |
| | | |

** Administrator means: a) Member of the Supervisory or Management board of the Bank; b) Procurator of the Bank or a person whose position according to the Bank's internal structure involves management and control of structural units directly related to the implementation of the principal business activity of the Bank (e.g. Department Director, Branch Manager); c) Head of Risk Management, Compliance, or Internal Audit in the Bank.*

*** In the absence of a civil marriage, indicate the person with whom the declarant is in factual cohabitation (the person with whom he/she cohabits permanently).*

**** Relatives in the direct line up to the third degree are: mother/father; grandmother/grandfather; great-grandmother/great-grandfather; children; grandchildren; great-grandchildren.*

Name of the Declarant

- | | | |
|--|------------|-----------|
| 1. I am an Administrator of First Investment Bank AD | YES | NO |
| | | |
| 2. I own directly or indirectly a qualifying holding in the capital of First Investment Bank AD, or shares that provide me with more than 10 percent of the total number of votes at the General Meeting of Shareholders of the Bank | YES | NO |
| | | |
| 3. I am a spouse, sibling or relative in the direct line up to the third degree of an administrator of First Investment Bank AD, or of a person who directly or indirectly holds a qualifying holding in the capital of the Bank or shares that provide him/her with more than 10 percent of the total number of votes at the General Meeting of Shareholders of the Bank | YES | NO |
| | | |

| Full names | EGN/National ID No | Type of person indicated under item 3 (administrator / shareholder) |
|------------|--------------------|---|
| | | |
| | | |
| | | |
| | | |

4. I am a member of the management or supervisory body of an entity which a person under items 1-3 above controls directly or indirectly, including jointly with other persons

| YES | NO |
|-----|----|
| | |

| Full names | EGN/National ID No | Capacity of the person indicated under item 4 (administrator/shareholder/person related to an administrator or shareholder of the Bank) |
|------------|--------------------|---|
| | | |
| | | |
| | | |
| | | |

III. The Company is economically dependent on the following persons:

Persons on whom the Declaring Company is economically dependent¹:

| Name of legal entity/individual | UIC/EGN/National ID No | Type of relationship (indicate item 1-9. from Notes): |
|---------------------------------|------------------------|---|
| | | |
| | | |
| | | |

Key partners of the Declaring Company:

| Name of legal entity/individual | UIC/EGN/National ID No | Amount of income/revenue: |
|---------------------------------|------------------------|---------------------------|
| | | |
| | | |
| | | |

IV. Beneficial owners² of the legal person/entity represented by me are the following natural persons:

The term of "Control" under present Section III and the next Section IV shall be defined in item 3 and item 4 of the Terminological explanations a part of this declaration and referred to the definition of "Control" for the purposes of the LMML.

| 1. Full names | | |
|---|---|-----------|
| | | |
| National ID № (EGN)/Other ID № | Date, place and country of birth | |
| | | |
| Type and number of identity document | Issued on | Issued by |
| | | |
| Citizenship/s (please list all citizenships held) | | |
| | | |
| Country of residence, if different from the Republic of Bulgaria, or country of citizenship | Tax Identification Number (TIN)/Country of TIN issuance | |
| | | |

Permanent address or other address (for persons without permanent address on the territory of the Republic of Bulgaria)-state, town, district, municipality, residential area, str., №, Ent., Floor, Apt.:

in the capacity as:

- a person who directly or indirectly holds a sufficient percentage of the shares, units or voting rights, including by holding bearer shares, pursuant to § 2 para. 1, item 1 of the Additional Provisions of the LMML;
- a person exercising control within the meaning of § 1c of the Additional Provisions of the Commerce Act³ (indicate the specific hypothesis) _____;
- a person exercising decisive influence in making decisions determining the composition of management or supervisory bodies, transformation, termination of business or other matters essential to the activity, according to § 2 para. 3 of the Additional Provisions of the LMML³;
- a person exercising ultimate effective control through the exercise of rights through third parties, including but not limited to rights provided by authorization, contract or other type of transaction, as well as other legal forms providing for the possibility of exercising decisive influence through third parties, according to § 2 para. 4 of the Additional Provisions of the LMML⁴;
- (indicate the specific category) settlor, trustee, protector, beneficiary, or person in whose main interest a trust ownership is set up or operates, or a person exercising ultimate control over a trust ownership by means of direct or indirect ownership or by other means, or a person holding equivalent or similar positions to those referred above;
- a person in whose name or on whose behalf an operation, transaction or activity is carried out and which meets at least one of the conditions set forth in § 2, para. 1, items 1 to 3 of the Additional Provisions of the LMML²;
- a person holding the position of a senior management officer where no other person can be established as a beneficial owner;
- other (please specify) _____

Description of rights held: _____

To my best knowledge, the beneficial owner:

- is not** / **is** a person that occupies, or has occupied over the last 1 year a senior public office in the Republic of Bulgaria, in another Member State, in a third country, or in international organizations (politically exposed person⁵);
- is not** / **is** a person that over the last 1 year has been related to a politically exposed person⁶.

2. Full names

National ID № (EGN)/Other ID №

Date, place and country of birth

Type and number of identity document

Issued on

Issued by

Citizenship/s (please list all citizenships held)

Country of residence, if different from the Republic of Bulgaria, or country of citizenship

Tax Identification Number (TIN)/Country of TIN issuance

Permanent address or other address (for persons without permanent address on the territory of the Republic of Bulgaria)-state, town, district, municipality, residential area, str., №, Ent., Floor, Apt.:

in the capacity as:

- a person who directly or indirectly holds a sufficient percentage of the shares, units or voting rights, including by holding bearer shares, pursuant to § 2 para. 1, item 1 of the Additional Provisions of the LMML;
- a person exercising control within the meaning of § 1c of the Additional Provisions of the Commerce Act³ (indicate the specific hypothesis) _____;
- a person exercising decisive influence in making decisions determining the composition of management or supervisory bodies, transformation, termination of business or other matters essential to the activity, according to § 2 para. 3 of the Additional Provisions of the LMML³;
- a person exercising ultimate effective control through the exercise of rights through third parties, including but not limited to rights provided by authorization, contract or other type of transaction, as well as other legal forms providing for the possibility of exercising decisive influence through third parties, according to § 2 para. 4 of the Additional Provisions of the LMML⁴;

- (indicate the specific category) settlor, trustee, protector, beneficiary, or person in whose main interest a trust ownership is set up or operates, or a person exercising ultimate control over a trust ownership by means of direct or indirect ownership or by other means, or a person holding equivalent or similar positions to those referred above;
- a person in whose name or on whose behalf an operation, transaction or activity is carried out and which meets at least one of the conditions set forth in § 2, para. 1, items 1 to 3 of the Additional Provisions of the LMML²;
- a person holding the position of a senior management officer where no other person can be established as a beneficial owner;
- other (please specify) _____

Description of rights held: _____

To my best knowledge, the beneficial owner:

- is not** / **is** a person that occupies, or has occupied over the last 1 year a senior public office in the Republic of Bulgaria, in another Member State, in a third country, or in international organizations (politically exposed person⁵);
- is not** / **is** a person that over the last 1 year has been related to a politically exposed person⁶.

Note: A copy of the identity document of the beneficial owner shall be submitted for identification purposes.

V. Legal persons/entities through which direct or indirect control is exercised over the legal person/entity I represent:

A. Legal persons/entities through which direct control is exercised:

_____ (indicate the name and the legal form of the legal person or type of legal entity)

Headquarters (state, town, district, municipality, residential area, str., №, Ent., Floor, Apt.):

Management address (state, town, district, municipality, residential area, str., №, Ent., Floor, Apt.):

| | |
|---|---|
| UIC/BULSTAT/Reg. No/other identification number | registered in the Commercial/other register at: |
| | |

Represented by:

1. Full names

| | |
|--------------------------------|----------------------------------|
| National ID № (EGN)/Other ID № | Date, place and country of birth |
| | |

| | | |
|--------------------------------------|-----------|-----------|
| Type and number of identity document | Issued on | Issued by |
| | | |

Citizenship/s (please list all citizenships held)

| | |
|---|---|
| Country of residence, if different from the Republic of Bulgaria, or country of citizenship | Tax Identification Number (TIN)/Country of TIN issuance |
| | |

Permanent address or other address (for persons without permanent address on the territory of the Republic of Bulgaria)- state, town, district, municipality, residential area, str., №, Ent., Floor, Apt.:

To my best knowledge, the beneficial owner:

- is not** / **is** a person that occupies, or has occupied over the last 1 year a senior public office in the Republic of Bulgaria, in another Member State, in a third country, or in international organizations (politically exposed person⁵);
- is not** / **is** a person that over the last 1 year has been related to a politically exposed person⁶.

| | | |
|---|---|-----------|
| 2. Full names | | |
| | | |
| National ID № (EGN)/Other ID № | Date, place and country of birth | |
| | | |
| Type and number of identity document | Issued on | Issued by |
| | | |
| Citizenship/s (please list all citizenships held) | | |
| | | |
| Country of residence, if different from the Republic of Bulgaria, or country of citizenship | Tax Identification Number (TIN)/Country of TIN issuance | |
| | | |
| Permanent address or other address (for persons without permanent address on the territory of the Republic of Bulgaria)-state, town, district, municipality, residential area, str., №, Ent., Floor, Apt.: | | |
| | | |
| To my best knowledge, the beneficial owner: | | |
| <input type="checkbox"/> is not / <input type="checkbox"/> is a person that occupies, or has occupied over the last 1 year a senior public office in the Republic of Bulgaria, in another Member State, in a third country, or in international organizations (politically exposed person ⁵); | | |
| <input type="checkbox"/> is not / <input type="checkbox"/> is a person that over the last 1 year has been related to a politically exposed person ⁶ . | | |

Way of representation: jointly; separately; other _____

| | |
|---|---|
| B. Legal persons/entities through which indirect control is exercised: | |
| | |
| (indicate the name and the legal form of the legal person or type of legal entity) | |
| Headquarters (state, town, district, municipality, residential area, str., №, Ent., Floor, Apt.): | |
| | |
| Management address (state, town, district, municipality, residential area, str., №, Ent., Floor, Apt.): | |
| | |
| UIC/BULSTAT/Reg. No/other identification number | registered in the Commercial/other register at: |
| | |

Represented by:

| | | |
|--|---|-----------|
| 1. Full names | | |
| | | |
| National ID № (EGN)/Other ID № | Date, place and country of birth | |
| | | |
| Type and number of identity document | Issued on | Issued by |
| | | |
| Citizenship/s (please list all citizenships held) | | |
| | | |
| Country of residence, if different from the Republic of Bulgaria, or country of citizenship | Tax Identification Number (TIN)/Country of TIN issuance | |
| | | |
| Permanent address or other address (for persons without permanent address on the territory of the Republic of Bulgaria)-state, town, district, municipality, residential area, str., №, Ent., Floor, Apt.: | | |
| | | |

To my best knowledge, the beneficial owner:

is not / **is** a person that occupies, or has occupied over the last 1 year a senior public office in the Republic of Bulgaria, in another Member State, in a third country, or in international organizations (politically exposed person⁵);
 is not / **is** a person that over the last 1 year has been related to a politically exposed person⁶.

2. Full names

| | | | |
|---|-----------|---|--|
| National ID № (EGN)/Other ID № | | Date, place and country of birth | |
| | | | |
| Type and number of identity document | Issued on | Issued by | |
| | | | |
| Citizenship/s (please list all citizenships held) | | | |
| | | | |
| Country of residence, if different from the Republic of Bulgaria, or country of citizenship | | Tax Identification Number (TIN)/Country of TIN issuance | |
| | | | |

Permanent address or other address (for persons without permanent address on the territory of the Republic of Bulgaria)-state, town, district, municipality, residential area, str., №, Ent., Floor, Apt.:

To my best knowledge, the beneficial owner:

is not / **is** a person that occupies, or has occupied over the last 1 year a senior public office in the Republic of Bulgaria, in another Member State, in a third country, or in international organizations (politically exposed person⁵);
 is not / **is** a person that over the last 1 year has been related to a politically exposed person⁶.

Way of representation: jointly; separately; other _____

VI. Contact person under Art. 63, para. 4, item 3 of the LMML⁷:

| | | |
|---|-----------|----------------------------------|
| Full names | | |
| | | |
| National ID № (EGN)/Other ID № | | Date, place and country of birth |
| | | |
| Type and number of identity document | Issued on | Issued by |
| | | |
| Citizenship/s (please list all citizenships held) | | |
| | | |
| Permanent address on the territory of the Republic of Bulgaria - town, district, municipality, residential area, str., №, Ent., Floor, Apt. | | |
| | | |

VII. I attach the following documents and references according to Art. 59, para. 1, items 1 and 2 of the LMML⁸:

1. _____
2. _____
3. _____
4. _____
5. _____
6. _____
7. _____

We undertake to immediately inform First Investment Bank AD in writing of any change in the data declared herein.

We are aware of the responsibility under Art. 313 of the Penal Code for declaring false data.

Place and date of signing

Declarants: 1. _____
(names, signatures, seal)

2. _____

TERMINOLOGICAL EXPLANATIONS

¹ Economic dependency exists where:

1. two or more persons have a common risk because they are interconnected in such a way that if one of them experiences difficulties, including in financing or repayment of its obligations, the other or all of them are also likely to experience difficulties in financing their activity or repayment of their obligations.
2. the Declaring Company has guaranteed wholly or in part the exposure of another person, which exposure is so significant to the guarantor that it would face financial difficulties if a claim arises in relation to that exposure;
3. a significant portion (over 40%) of the gross revenues or gross expenses of the Declaring Company (on annual basis) are derived from transactions with a person that cannot be easily replaced;
4. a significant portion (over 40%) of the manufacturing/production of the Declaring Company is intended for one person, and this production cannot easily be sold to another person;
5. a significant portion (over 40%) of claims or obligations of the Declaring Company are from/to one person.
6. the Declaring Company has, together with another person, the same source of funds for repayment of credit obligations, and none of them has another independent source of income;
7. the Declaring Company has invested a significant portion (over 40%) of its assets in another person;
8. the Declaring Company has a common source of funding with another person which source cannot be easily replaced;
9. the Declaring Company is legally responsible as a member of an undertaking, e.g. as general partner in a limited partnership, and the exposure is so significant that it is likely to experience financial difficulties if there were a claim against such undertaking.

² Beneficial owner (according to § 2 para. 1 of the Additional Provisions of the LMML) means:

Any natural person(s) who ultimately owns or controls a legal person or other legal entity and/or the natural person(s) on whose behalf an operation, a transaction or activity is being conducted and which meet at least one of the following conditions:

1. In the case of corporate entities the beneficial owner is natural person(s) who ultimately owns or controls a legal entity through direct or indirect ownership of a sufficient percentage of the shares or voting rights or ownership interest in that entity, including through bearer shareholdings, or through control via other means, other than a company listed on a regulated market that is subject to disclosure requirements consistent with Union law or subject to equivalent international standards which ensure adequate transparency of ownership information.

A shareholding of 25 % plus one share or an ownership interest of more than 25 % in a legal person or legal entity held by a natural person is an indication of **direct ownership**.

A shareholding of 25 % plus one share or an ownership interest of more than 25 % in a legal person or legal entity held by a corporate entity, which is under the control of a natural person(s), or by multiple corporate entities, which are under the control of the same natural person(s), is an indication of **indirect ownership**.

2. In respect of trust ownership, including trusts, custodian funds and other similar foreign legal entities established and existing under the law of jurisdictions allowing such forms of trust ownership, the beneficial owner is:

- a) the settlor;
- b) the trustee(s);
- c) the protector, if any;
- d) the beneficiaries, or class of beneficiaries, or
- e) the person in whose main interest the trust is set up or managed when the natural person benefiting from it is yet to be determined;
- f) any other natural person exercising ultimate control over the trust by means of direct or indirect ownership or by other means.

3. In the case of legal persons such as foundations, and legal entities similar to trusts, the natural person(s) holding equivalent or similar positions to those referred to in point 2.

Natural person(s) that are nominee directors, secretaries, shareholders or owners of the capital of a legal persons or legal entities **are not beneficial owners**, if another beneficial owner has been established.

³ Control (according to § 2 para. 3 of the Additional Provisions of the LMML) is:

Control within the meaning of § 1c of the Additional Provisions of the Commerce Act, as well as any possibility which, without being an indication of direct or indirect ownership, allows the exercise of a decisive influence over a legal person or legal entity in making decisions determining the composition of its management or supervisory bodies, transformation of the legal person, termination of its activity and other matters of essential importance for its activity.

Note:

Within the meaning of § 1c of the Additional Provisions of the Commerce Act, "control" exists where a natural person or legal entity (controlling person):

1. holds the majority of votes in the general assembly of another legal entity, or
2. is entitled to nominate more than half of the members of the management board or the supervisory body of another legal entity and is, at the same time, a shareholder or a partner in the said legal entity, or
3. is entitled to exercise decisive influence over another legal entity by virtue of a contract concluded therewith or by virtue of its articles of association or statutes, or

4. is a shareholder or partner in another legal entity and by virtue of a contract with other shareholders or partners, controls on his own, the majority of votes in the general assembly of that legal entity.

In the cases referred to in items 1, 2 and 4 the votes of the controlling person shall be added the votes of the persons controlled by him/her, as well as the votes of persons, acting on their own behalf but for the account of the controlling person or for the account of another person, controlled thereby.

In the cases referred to in items 1, 2 and 4 votes of the controlling person shall not be considered the ones related to shares or participating interests held by the controlling person for the account of another person, who is not being controlled thereby, as well as the votes related to shares or participating interests, which the controlling person holds as security, in case the rights thereof are being exercised by order or in interest of the person, who has provided the security.

In the cases referred to in items 1 and 4 the total number of votes in the general assembly of the controlled person shall be reduced by the votes related to shares or participating interests held by the said person, by a person controlled by the said person, or by a person acting on his own behalf but for the account of the said person.

⁴ An indication of indirect control (according to § 2 para. 4 of the Additional Provisions of the LMML) is:

The exercise of ultimate effective control over a legal person or other legal entity through the exercise of rights by third parties, including but not limited to, by virtue of an authorization, contract or other type of transaction, as well as through other legal forms providing for the possibility of exercising decisive influence through third parties.

When, after having exhausted all possible means, a beneficial owner cannot be established according to § 2 para. 1 of the Additional Provisions of the LMML, or when there are doubts that the identified person(s) is not the beneficial owner, the natural person holding the senior management office shall be considered as "beneficial owner".

⁵ Politically exposed persons (according to Art. 36, para. 2 of the LMML) are:

Individuals who perform or have been entrusted with the following important public functions:

1. heads of state, heads of government, ministers and deputy ministers or assistant ministers;
2. members of parliament or of similar legislative bodies;
3. members of supreme courts, of constitutional courts or of other high-level judicial bodies, the decisions of which are not subject to further appeal, except in exceptional circumstances;
4. members of courts of auditors;
5. members of central bank management bodies;
6. ambassadors or persons in charge of diplomatic missions;
7. high-ranking officers in the armed forces;
8. members of the administrative, management or supervisory bodies of state-owned enterprises and companies with sole owner – the state;
9. mayors and deputy mayors of municipalities, mayors and deputy mayors of districts and chairmen of municipal councils;
10. members of the governing bodies of political parties;
11. heads or deputy heads of international organizations, members of the management or supervisory bodies of international organizations or persons exercising equivalent function in such organizations.

⁶ Related to politically exposed persons (according to Art. 36, para. 5 of the LMML) are the following persons:

1. spouses or persons living in factual cohabitation on marital grounds;
2. descendants of first degree and their spouses or persons with whom the descendants of first degree live in a factual cohabitation on marital grounds;
3. ascendants of first degree and their spouses or persons with whom the first - degree ascendants live in factual cohabitation on marital grounds;
4. collateral relatives of second degree and their spouses, or persons with whom second - degree collateral relatives live in factual cohabitation on marital grounds;
5. any natural person known to be a beneficial owner, together with a person under Art. 36, para. 2 of the LMML, to a legal person or other legal entity or to other related commercial, professional or other business relations with a person under Art. 36, para. 2 of the LMML;
6. any natural person who is the sole owner or beneficial owner of a legal person or other legal entity which is known to have been set up in favor of a person under Art. 36, para. 2. of the LMML.

⁷ Contact person under Art. 63, para. 4, item 3 of the LMML:

A natural person for contact permanently residing in the territory of the Republic of Bulgaria, when on the account of the legal persons and other legal entities established on the territory of the Republic of Bulgaria, respectively the legal persons and other legal entities acting on the territory of the Republic of Bulgaria in their capacity as trusted owners of trusts, custodian funds and other similar foreign legal entities established and existing under the jurisdictions allowing such forms of property, has not entered data for a legal representative permanently residing in the territory of the Republic of Bulgaria - a natural person.

⁸ Documents and references according to Art. 59, para. 1, items 1 and 2 of the LMML are:

1. Verified copy of Constituent act (By-law, Contract)
2. Original of Constituent act (for verification only)
3. Certificate of current status, issued by relevant national register (Commercial/other register) where the legal person/ entity is registered (for legal persons and other legal entities established in the territory of the Republic of Bulgaria).
4. Certificate or other valid document according to the legislation of the jurisdiction, where the legal person/entity is registered, issued by national register in accordance with the local legislation. The document shall certify the existence of legal person/ entity and their legal representatives.

5. Certificate or other valid document according to the legislation of the jurisdiction, where the legal person/ entity is registered, issued by national register in accordance with the local legislation. The document shall certify the existence of legal person – a member of the Managing and/or Supervisory body and their legal representatives.

6. Certificate or other valid document according to the legislation of the jurisdiction, where the legal person/ entity is registered, issued by national register in accordance with the local legislation. The document shall contain identification data for beneficial owners of the legal entities.

7. Others.